

EX.3 Risk assessment results

Historical results and risk assessment schedule

| 2022 Q1 | 2022 Q2 | 2022 Q3 | 2022 Q4 |
|---------|---------|---------|---------|
| [RAR1] | | | |
| 2021 Q1 | 2021 Q2 | 2021 Q3 | 2023 Q4 |
| | | | |

Note: planned (■) and ad hoc (⊗) assessments are in the future, whilst historical results are in the past. Ad hoc assessments are performed when relevant changes within scope of the management system are proposed or occur. Do not remove rows. As the ISMS enters a new year add a new row to the top of the table.

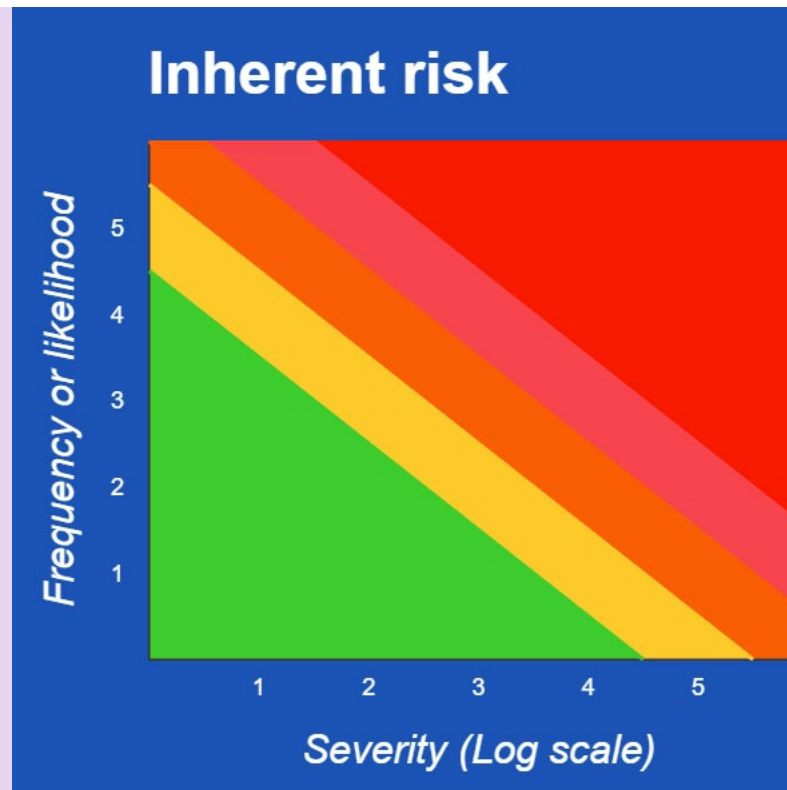
Present results

Risk identification and assessment

The following table presents the results of the present information security risk assessment. Having applied the method, the table lists the various events that are of concern to us, together with their likelihood of occurrence; the potential

consequences, their severity, and the overall risk. The same data is presented graphically in the figure below the table.

| Event | Frequency or likelihood | Consequence | Severity | Risk |
|--|--|-------------|--|--|
| R1 – Fraud or error | « [RAR3] Insert your likelihood value here, e.g., Once a month (3) » | C | « [RAR2] Insert your severity value here, e.g., £1,000 (2) » | « [RAR4] Insert the sum of likelihood and severity here, e.g., 5 » |
| | | I | « Ditto » | « Ditto » |
| | | A | « Ditto » | « Ditto » |
| R2 – Poor stewardship of information | « Ditto » | C | « Ditto » | « Ditto » |
| | | I | « Ditto » | « Ditto » |
| | | A | « Ditto » | « Ditto » |
| R3 – Successful cyberattack | « Ditto » | C | « Ditto » | « Ditto » |
| | | I | « Ditto » | « Ditto » |
| | | A | « Ditto » | « Ditto » |
| R4 – Office/data centre break-in | « Ditto » | C | « Ditto » | « Ditto » |
| | | A | « Ditto » | « Ditto » |
| R5 – Theft/loss of mobile devices | « Ditto » | C | « Ditto » | « Ditto » |
| | | A | « Ditto » | « Ditto » |
| R6 – Software failure | « Ditto » | I | « Ditto » | « Ditto » |
| | | A | « Ditto » | « Ditto » |
| R7 – Hardware and environmental failure | « Ditto » | A | « Ditto » | « Ditto » |
| R8 – Internet/communications failure | « Ditto » | A | « Ditto » | « Ditto » |
| R9 – Acts of God, vandals and terrorists | « Ditto » | C | « Ditto » | « Ditto » |
| | | I | « Ditto » | « Ditto » |
| | | A | « Ditto » | « Ditto » |



« [RAR5] Plot your risk assessment results on this graph »

Risk evaluation and prioritisation for treatment

« [RAR6] State which risks are unacceptable and require treatment». The priority order is « [RAR7] list them from the graph, starting with the risk furthest (diagonally) from the orange region»

Risk owners

« [RAP8] Say who the risk owners are. Note that the risk owner might be different for different risks. »

The risks were reviewed for consistency, validity, and comparability at « [RAP9] say what meeting » and found to be satisfactory.